DOCKET NO.: M0459.70018US00

Applicant:

Kevin R. Crompton

Serial No.:

09/666,813

Confirmation No.:

9117

Filed:

September 21, 2000

For:

REALISTICALLY TEXTURED PRINTED FLOCKED

FABRICS AND METHODS FOR MAKING THE FABRICS

Examiner:

Cheryl Ann Juska

Art Unit:

1771

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 26<sup>th</sup> day of December, 2006.

Signature

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

### PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office action, but before the mailing date of any final action under 37 C.F.R. §1.113, a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

Our check in the amount of \$1,200.00 covering the fees set forth in 37 CFR 1.17(a)(3) and 1.17(p) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper

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hereafter filed in this application by this firm) to our Deposit Account No. 23/2825, under Docket No. M0459.70018US00. A duplicate copy of this paper is enclosed.

### **PART III: Information Cited**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the enclosed Office Action from a corresponding Turkish National Application 2002/00721 issued July 14, 2006, and a copy of the claims as pending as of that date.

The Applicant would like to bring to the Examiner's attention the following other information: a Litigation involving commonly owned U.S. Patent No. 5,981,021 (Cite No. 53 on the Form 1449 mailed by Applicant on September 5, 2003) directed to transfer printed flocked fabrics and methods for making the fabrics. The litigation is pending in United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-cv-01267-AVC. Certain papers filed with the court are enclosed, as indicated on the attached form 1449.

# PART IV: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified PTO/SB/08): The relevance of German language article "Transferbedruckte Polyester-Polware" by K. Ember *Textilveredlung* 12 (1977), Nr. 5 (Cite No. 76) as understood is indicated by its English language Summary and the figures.

The following are remarks concerning the other information cited: Regarding the pending litigation and litigations papers submitted herein, certain allegations of invalidity and 934120.1

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inequitable conduct have been alleged by the plaintiff that the Examiner may consider relevant.

## PART V: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

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Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

Michael J. Pomianek, Ph.D., Reg. No. 46,190

David Wolf, Reg No. 17,528 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Date: December 26, 2006

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

of

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APPLICATION NO.: 09/666,813

**EXAMINER:** 

ATTY. DOCKET NO.: M0459.70018US00

FILING DATE: 2000

September 21,

**CONFIRMATION NO.: 9117** 

APPLICANT:

Kevin R. Crompton

GROUP ART UNIT: 1771

Cheryl Ann Juska

**U.S. PATENT DOCUMENTS** 

Examiner's Initials #	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or Issue	
		Number	Kind Code	Document	of Cited Document MM-DD-YYYY	
	70	2,308,429		Smith et al.	01-12-1943	
	71	3,999,940		Freeman	12-28-1976	
	72	4,309,179		Heuser et al.	01-05-1982	
	73	4,314,813		Masaki	02-09-1982	
	74	4,427,414		Orton	01-24-1984	
	75	4,963,422		Katz et al.	10-16-1990	

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials #	Cite	Foreign Patent Document		nent	Name of Patentee or Applicant of Cited	Date of Publication of	Translation
	No.	Office/ Country	Number	Kind Code	Document	Cited Document MM-DD-YYYY	(Y/N)
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### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials #	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translatior (Y/N)
	76	K. Ember, "Transferbedruckte Polyester-Polware," Textilveredlung, Vol. 12, No. 5, pages 207-209 (1977).	N
	77	Brenner et al., "Textile Flocking," Textilbeflockung. Date unknown but 1991 or earlier	
	78	U. Zorll, et al., "Influences of composition and polarity of an adhesive on its bonding properties," Flock, Vol. 14, No. 46, pp. 7-18 (1987).	
	79	H. Schubert, et al., "Colour Differences in Flock and Flocked Articles," Flock, No. 36, pp. 3-9 (1984)	
	80	L. Bayerwerk, "Adhesive Formulations for Flock Application," Farbenfabriken Bayer AG, pp. 2-14 (1964).	
	81	R. S. Gregorian, et al., "Flock Technology," American Association of Textile Chemists and Colorists, pp. 30-37 & Figs. 1-6 (AATCC Symposium, December 8-9, 1971).	
	82	Examination Report for a corresponding Turkish Patent Application, serial no. 2002/00721, issued July 14, 2006 and copy of claims as pending as of that date.	
	83	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-cv-01267-AVC – Docket Report as of December 21, 2006	
-	84	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-cv-01267-AVC – Second Amended Complaint of April 12, 2004	
	85	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-cv-01267-AVC – Plaintiff Intermark Fabric Corporation's Memorandum of Law in Reply to Defendant's Opposition to Plaintiff's Motion for Summary Judgment of August 25, 2003	
	86	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-cv-01267-AVC – Microfibres Inc.'s Memorandum of Law in Support of its Objection to Intermark Fabric Corporation's Motion for Summary Judgment Under 35 U.S.C. §§ 102 and 103 of October 14, 2003	

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FORM DTC	1440/A and D ( 4:5 - 4 DTO/SD/OO)	APPLICATION NO.:	09/666,813	ATTY. DOCKET N	IO.: M0459.70018US
	P-1449/A and B (modified PTO/SB/08)  RMATION DISCLOSURE	FILING DATE: September 21, CONFIRMATION NO.: 9		NO.: 9117	
	EMENT BY APPLICANT	APPLICANT:	Kevin R. Crompt	on	
Sheet	Page 2 of 2	GROUP ART UNIT:	1771	EXAMINER:	Cheryl Ann Juska

Sheet		Page 2 of 2	
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6 <sup>x</sup> 7	\$ <del>7</del>	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
Ologania Docket No.			
100 8 500		Motion for Summary Judgment of July 21, 2003	
IAN	88	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
3."		cv-01267-AVC – Microfibres Inc.'s Memorandum of Law in Support of its Objection to Intermark	
TOP & TRACE		Fabric Corporation's Motion for Summary Judgment of August 11, 2003	
	89	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	—
`		cv-01267-AVC – Memorandum of Law in Support of: Plaintiff, Intermark Fabric Corporation's	
		Motion for Summary Judgment Under 35 U.S.C. §§ 102 and 103 of September 22, 2003	
	90		
	90	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
	01	cv-01267-AVC – Local Rule 56(A)1 Statement of September 22, 2003	·
.   '	91	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
		cv-01267-AVC – Plaintiff Intermark Fabric Corporation's Memorandum of Law in Reply to	
		Defendant's Objection to Plaintiff's Motion for Summary Judgment Under §§102 and 103 of	
		October 28, 2003	
9	92	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
		cv-01267-AVC - Plaintiff Intermark Fabric Corporation's Answers to Defendant, Microfibres,	
		Inc.'s First Set of Interrogatories of August 12, 2003	
9	93	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
		cv-01267-AVC - Plaintiff Intermark Fabric Corporation's First Amended Answers to Defendant,	
		Microfibres, Inc.'s First Set of Interrogatories of August 21, 2003	
9	94	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
		cv-01267-AVC - Expert Report of Lawrence J. Goffney, Jr. of June 2, 2003	
	95	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
		cv-01267-AVC - Expert Rebuttal Report of David Brookstein, Sc.D. Matters Related to US Patent	
		5,981,021 of July 31, 2003	
	96	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
		cv-01267-AVC - Local Rule 56(A)1 Statement of July 21, 2003	
9	97	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
		cv-01267-AVC – Microbres, Inc.'s Local Rule 9(c)(2) Statement of August 11, 2003	
9	98	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
		cv-01267-AVC - Microfibres, Inc.'s Local Rule 56(a)2 Statement of October 14, 2003	
	99	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
		cv-01267-AVC – Plaintiff Intermark Fabric Corporation's Opposition to Defendant, Microfibres,	
		Inc.'s Motion in Limine to Exclude Evidence Relating to "Best Mode" Defense of September 25,	
		2006	
	100	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
		cv-01267-AVC – Plaintiff Intermark Fabris Corporation's Notice of Prior Art Under 35 U.S.C. §	
		282 of August 18, 2006	
	101	United States District Court for the District of Connecticut (New Haven) bearing Docket No. 3:02-	
	101	cv-01267-AVC – Joint Trial Memorandum of August 28, 2006	
		CV-01207-A V C - Julit 1 Hat Ivicilioralidum of August 28, 2000	

EXAMINER:	DATE CONSIDERED:

[NOTE – No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or notitation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_, filed \_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).